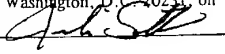


CERTIFICATE OF MAILING

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Nov 8, 2000



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
SHUNPEI YAMAZAKI et al.)
Serial No. 09/235,770) Group Art Unit: 2812
Filed: January 25, 1999) Examiner: S. Hawranek
For: SEMICONDUCTOR DEVICE AND)
METHOD FOR FORMING THE SAME) Date: November 8, 2000

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the reference(s) listed on the attached Form PTO-1449 be made of record in the above-identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a)

The Examiner's attention is particularly directed to JP 58-091676, which was cited in a counterpart Japanese Patent Application by the Japanese Patent Office on August 8, 2000, which is not more than three months prior to the filing of the Information Disclosure Statement.

JP 49-078483 and JP 64-047076 were cited in another counterpart Japanese Patent Application by the Japanese patent Office on August 15, 2000, which is not more than three months prior to the filing of the Information Disclosure Statement.

JP 46-003025, JP 48-022285, JP 47-018278 and JP 02-148132 were previously submitted in an Information Disclosure Statement filed with the Patent Office on July 25, 2000. Concise Statements of JP 48-022285, JP 47-018278 and JP 02-148132 are being submitted herewith. A full English translation of JP 46-003025 is also being submitted herewith.

Finally, 53-038278 is listed on the attached Form 1449 to correct a typographical error in the 1449 submitted January 25, 1999. Specifically, on page 1 of the 1449, this reference is incorrectly listed as 3-038278. The Examiner is respectfully requested to line through the incorrect number 3-038278 and initial the reference 53-038278 correctly listed on the attached 1449.

Hence, it is requested that the references submitted herewith be considered and made of record in the subject application.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,



Eric J. Robinson
Registration No. 38,285
NIXON PEABODY LLP
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(703) 790-9110
(703) 883-0370 (FAX)



- 1 -

Docket No. 0756-1914

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Mellon

In re Patent Application of)
Shunpei YAMAZAKI et al.)
Serial No. 09/235,770)
Filed: January 25, 1999)
For: SEMICONDUCTOR DEVICE)
AND METHOD FOR FORMING)
THE SAME)

Art Unit: 2813
Examiner: L. Schillinger

CERTIFICATE OF MAILING

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RESUBMISSION OF IDS REFERENCES IN RESPONSE TO THE EXAMINER'S REQUEST

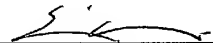
Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicant's representative has received an Interview Summary in the above-identified application indicating that copies of the foreign references cited in the Information Disclosure Statement filed September 25, 2002 (received by the PTO October 7, 2002); March 7, 2001 (received by the PTO on March 12, 2001); March 13, 2002 (Received by the PTO on March 13, 2002) and November 8, 2000 (received by the Patent Office on November 13, 2000) were not provided by Applicant. It is respectfully submitted that copies of these references were submitted with each submission and copies of the stamped receipt card evidencing receipt by the Patent Office is attached hereto.

As requested by Examiner Schillinger, copies of the foreign references are resubmitted herewith for consideration. Applicants respectfully request that the Examiner provide an initialed copy of the Form PTO-1449 evidencing consideration of these Information Disclosure Statements. Further, it is respectfully requested that upon consideration of these references, a *Certificate of Correction* be issued by the Patent Office.

Should the Examiner believe that anything further is needed to consider these references, she is invited to contact Applicant's undersigned attorney at the telephone number listed below.

Respectfully submitted,



Eric J. Robinson
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.
PMB 955
21010 Southbank Street
Potomac Falls, Virginia 20165
(571) 434-6789

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In re Patent Application of

Shunpei YAMAZAKI et al.

Serial No. 09/235,770

Filed: January 25, 1999

For: SEMICONDUCTOR DEVICE

AND METHOD FOR FORMING

THE SAME

Art Unit: 2813

Examiner: L. Schillinger

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Sir:

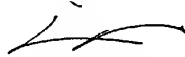
In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be considered by the Examiner and made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

In accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

JP 48-095192 and Japanese Utility Model Laid Open No. 48-033964 were cited by the Japanese Patent and Trademark Office for showing a gate insulating film having a three layer structure of aluminum oxide, silicon oxide and silicon nitride.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,



Eric J. Robinson
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